



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT
Docket No.: 2010402020

165341 Box
seq
RECEIVED

JUN 29 2001

TECH CENTER 1600/2900

Applicant(s) : Alam et al.
Serial No. : 09/455,978
Cnfrm. No. : 5811
Filed : December 6, 1999
For : HEME PROTEINS HEMAT-*HS* AND
HEMAT-*BS* AND THEIR USE IN
MEDICINE AND MICROSENSORS

Examiner:
H. Schnizer

Art Unit:
1653

Assistant Commissioner for Patents
Washington, D.C. 20231

Box: Sequence

Sir:

Transmitted herewith is a Preliminary Amendment (4 pages) with attached Appendix (3 pages) in the above-identified application. Also enclosed are:

- ☒ Request for Two-Month Extension of Time (in duplicate).
- ☒ Statement in Accordance with 37 C.F.R. § 1.821(g), Sequence Listing (38 pages), and computer readable 3.5" Diskette.
- ☒ A copy of the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures.
- ☒ Applicants claim small entity status. (See 37 CFR 1.27.)
- ☒ A self-addressed, prepaid postcard for acknowledging receipt.
- ☒ The fee has been calculated as shown below:


	(Col. 1)		(Col. 2)	(Col. 3)	a) SMALL ENTITY b) LARGE ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADD'L FEE
TOTAL	63	MINUS	61	+ 2 x	a) \$ 9= b) \$18	\$ 18.00
INDEP	6	MINUS	6	+ 0 x	a) \$40= b) \$80	\$ 0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM					See fee Schedule	\$ 195.00
<input checked="" type="checkbox"/> Extension of Time Fee (2 Months)						
TOTAL						\$ 213.00

[X] A check for \$213.00 is enclosed to cover the above fees.


[X] The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 14-1138.

A duplicate copy of this sheet is enclosed.

Date: June 21, 2001


Edwin V. Merkel
Registration No. 40,087

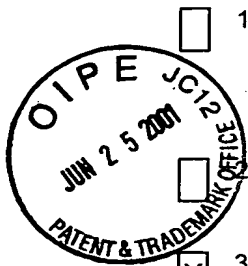
Nixon Peabody LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603-1051
Telephone: (716) 263-1128
Facsimile: (716) 263-1600

Certificate of Mailing - 37 CFR 1.8(a)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date below.	
6/21/01	
Date	Jo Ann Whalen

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):



- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: _____

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

PatentIn Software Program Support

Technical Assistance.....703-287-0200

To Purchase PatentIn Software.....703-306-2600

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR REPLY